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PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/650.539 Filing Date 08/28/2003 TRANSMITTAL **FORM** First Named Inventor Roger Butterfield Art Unit 3682 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number BW-DKT02177 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Licensing-related Papers Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify below): Terminal Disclaimer Extension of Time Request **PostCard** Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brown & Michaels, PC Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Judy H. Barren Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS Alexandria, VA 22313-1450

In re the application of:

Roger Butterfield

For:

HIGH-PERFORMANCE SILENT CHAIN

Filed:

08/28/2003 10/650,539

Application No.:
Art Unit:

3682

Attorney Docket No.:

BW-DKT02177

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this information Disclosure Statement

Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

7 The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

- *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.
- Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

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Section 5. Cumulative Patents or Publications
☐ Item(s) are cumulative of the following patents or publication listed on Form PTO 1449 (modified):
In accordance with 37 CFR 1.98(c) a copy of is being submitted with this information disclosure statement.
Section 6. Copies of Listed Information Items Accompanying This Statement
Legible copies of all items listed accompany this information statement.
Exception(s) to above:
 ☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4. ☐ Cumulative patents or publications identified in Section 5.
Section 7. Concise Explanation of Non-English Language Listed Information Items
Section 8. Translation(s) of Non-English Language Documents
 Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material: No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above. The following foreign language documents submitted are believed to be the equivalent or

substantial equivalent of the English language documents identified below, which are also submitted herewith.
Section 9. Certification under Rule 1.97
The undersigned hereby certifies that:
a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.
b. The fee set forth in §1.17(p)
Is being paid with this Information Disclosure Statement
☐ Is not due because: ☐ (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or ☐ (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT
The person making this statement is
(a) the inventor(s) who signs below
(b) [X] the attorney who signs below on the basis of: the information supplied by the inventor(s) an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)). [X] the information in the attorney's file
Meghan Van Leeuwen, Registration No: 45,612 BROWN & MICHAELS, PC
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e-mail: docket@bpmlegal.com Customer number: 32175

Section 2. Form PTO - 1449 (Modified) (ATTACHMENT)

	FORM PTO-1449 U.S. DEPT. OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. BW-DKT02177	SERIAL NO. 10/650,539
)	(mountary)	APPLICANT Butterfield	
•	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE 08/28/2003	GROUP 3682

U.S. PATENT DOCUMENTS

Exam Initial		DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB	FILING DATE IF APPROPR
	AF	1,586,857	06/01/1926	Stiansen, A. P.			
	AG	1,658,602	02/07/1928	Koelkebeck, C.			
	AH	3,153,348	10/20/1964	Kuntzmann, P.	74	255	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exam Initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO
					<u> </u>	

OTHER PRIOR ART

Exam Initial	ľ	Author, Title, Date, Pertinent Pages, Etc	
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EXAMI	VLIX.		